**Safeguarding Adults: Risk Assessment and Management Plan**

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**RAMP**

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_­**

**Revised January 2020**

|  |
| --- |
| **Designed by Kath Albiston**  itoi training solutions  218 Sackville Road, Heaton, Newcastle upon Tyne NE6 5TD  Email: [kathalbiston@i-to-i-training.co.uk](mailto:kathalbiston@i-to-i-training.co.uk)  Tel: 0191 2471601 |

**Case Identification**

|  |  |
| --- | --- |
| **Alleged Victims Name:** |  |
| **Date of Birth:** |  |
| **Address and contact details:** |  |
| **Case Identification:** |  |
| **Case Worker (including contact details):** |  |

|  |  |
| --- | --- |
| **Alleged Perpetrators Name:** |  |
| **Date of Birth:** |  |
| **Address and contact details:** |  |
| **Case Identification:** |  |
| **Case Worker (including contact details):** |  |

|  |  |
| --- | --- |
| **Date Risk Assessment Completed:** |  |
| **Name and contact details of worker undertaking risk assessment:** |  |
| **Role:** |  |
| **Organisation:** |  |

**Sources of information for assessment**

|  |
| --- |
|  |

**Section 1: Nature of Risk**

|  |
| --- |
| **What is the relationship between the Adult at Risk and alleged perpetrator(s)?** |
|  |

|  |
| --- |
| **Outline the context in which this assessment is being undertaken (i.e. what is the current situation between the Adult at Risk and alleged perpetrator; at what stage the RAMP is being undertaken):** |
|  |

|  |
| --- |
| **What is the nature of the alleged abuse/concerns? (Outline reported incidents/concerns including dates – can be taken from Initial Enquiry)** |
|  |

|  |
| --- |
| **How did the alleged abuse come to light?** |
|  |

|  |
| --- |
| **What impact did the alleged abuse/concerns result in? Give details including any physical injury, psychological/emotional impact, unmet basic needs, long term concerns re. level of care, exploitation of individual and financial impact.** |
|  |

|  |  |  |  |
| --- | --- | --- | --- |
| **Based on the above which categories of alleged abuse/concerns apply? (tick more than one if necessary)** | | | |
| Physical |  | Organisational |  |
| Emotional |  | Self-Neglect |  |
| Sexual |  | Discriminatory |  |
| Neglect |  | Domestic |  |
| Financial |  | Modern Day Slavery |  |
| Other (please specify) |  | | |

|  |
| --- |
| **Is there a pattern of abuse/concerns?** |
|  |

|  |
| --- |
| **What is the most severe allegation/concern?** |
|  |

|  |
| --- |
| **Has there been a recent increase in the severity of the alleged abuse/concerns?** |
|  |

|  |
| --- |
| **Has there been a recent increase in the frequency of the alleged abuse/concerns?** |
|  |

**Section 2: Adult at Risks Account**

**Details**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Is the Adult at Risk able to contribute to this assessment?** | | | | | |
| Yes |  | No |  | Not Known |  |

|  |
| --- |
| **Outline extent of contribution and/or any limitations:** |
|  |

|  |
| --- |
| **Does the adult at risk have an independent advocate or someone who can advocate for them?** |
|  |

|  |
| --- |
| **In your opinion, is this person appropriate?** |
|  |

|  |
| --- |
| **Has an independent advocate been sought** |
|  |

|  |
| --- |
| **Outline the Adult at Risks account of the alleged abuse/concerns** |
|  |

|  |
| --- |
| **What effect does the Adult at Risk feel the abuse is having on them?** |
|  |

|  |
| --- |
| **Is there any information from other sources regarding the impact of the situation on the adult at risk?** |
|  |

**Safety Plan**

|  |
| --- |
| **What risk does the Adult at Risk believe is posed to them?** |
|  |

|  |
| --- |
| **How do they think the situation can be made safer?** |
|  |

|  |
| --- |
| **Is there a place where they feel safe?** |
|  |

|  |
| --- |
| **Is there a place where they feel unsafe?** |
|  |

|  |  |  |  |
| --- | --- | --- | --- |
| **Have concerns re. safety/risk been fully explained to the Adult at Risk and/or relevant family members/carers?** | | | |
| Yes |  | No |  |

|  |
| --- |
| **If yes please outline what evidence there is that the concerns have been understood?**  **If no please outline why the concerns were not explained?** |
|  |

|  |  |  |  |
| --- | --- | --- | --- |
| **Has full information been provided around what options are available?** | | | |
| Yes |  | No |  |

|  |
| --- |
| **If yes please give details of the information that has been provided.**  **If no outline why information was not given.** |
|  |

**Assessors concerns and/or initial risk management actions**

|  |
| --- |
| **Are there any concerns that there is a power imbalance that may be impacting upon the decisions being taken by the Adult at Risk (consider issues of exploitation; pressure or coercion by family members and/or carers)** |
|  |

|  |
| --- |
| **Have any previous capacity assessments been undertaken?** |
|  |

|  |
| --- |
| **Is there anything to suggest action may need to be taken against the Adult at Risk’s wishes and/or a capacity assessment may need to be undertaken?** |
|  |

|  |
| --- |
| Where there are no indicators of any capacity issues, but the Adult at Risk is felt to be making unwise decisions (such as in cases of self-neglect), have steps have been taken to involve the Adult at Risk in addressing the concerns and identifying further support? (e.g. signposting or referral to support services, referral for further specialist assessment) |

**Section 3: Vulnerability factors relating to the adult at risk**

|  |  |  |  |
| --- | --- | --- | --- |
| **Tick any of the following potential vulnerability factors that apply to the Adult at Risk:** | | | |
|  | | Yes | No |
| Older and frail | |  |  |
| Physical Disability | |  |  |
| Learning Disability | |  |  |
| Autistic Spectrum Condition | |  |  |
| Mental Health issues | |  |  |
| Visually impaired | |  |  |
| Hearing impaired | |  |  |
| Dementia | |  |  |
| Other health problems | |  |  |
| Substance misuse | |  |  |
| Living with alleged abuser | |  |  |
| Living alone | |  |  |
| Limited family networks | |  |  |
| Limited social networks outside family | |  |  |
| Financial issues | |  |  |
| Communication difficulties | |  |  |
| Capacity issues | |  |  |
| Recent and significant change in life circumstances | |  |  |
| Previous victim of abuse (including allegations) | |  |  |
| Previous perpetrator of abuse (including allegations) | |  |  |
| Homeless/housing difficulties | |  |  |
| Carer | |  |  |
| Concerns relating to exploitation (this may include sexual exploitation and/or modern slavery) | |  |  |
| Concerns relating to self neglect | |  |  |
| Other (please specify) |  | | |
| Give details, including extent and nature, of the factors identified above: | | | |
|  | | | |

|  |  |  |
| --- | --- | --- |
| **Considering the above vulnerability factors do they increase risk in any of the following ways:** | | |
|  | Yes | No |
| Do they prevent the Adult at Risk from physically leaving an abusive situation? |  |  |
| Do they reduce the ability of, or prevent, the Adult at Risk in seeking help when abuse occurs? |  |  |
| Do they reduce the ability of, or prevent, the Adult at Risk in seeking longer term help (including accessing services that may offer protection from abuse)? |  |  |
| Does the Adult at Risk rely on the alleged perpetrator to meet basic needs? In cases of self-neglect, does this result in basic needs not being met? |  |  |
| Does the Adult at Risk rely on the alleged perpetrator to care/support/provide for them in other ways? |  |  |
| Do they impact on the Adult at Risk’s ability to recognise or understand the abuse? |  |  |
| Do they increase the likelihood of the Adult at Risk suffering physical injury or harm? |  |  |
| Do they increase the severity of the emotional impact on the Adult at Risk of abuse? |  |  |
| Do they increase the Adult at Risk’s susceptibility to manipulation/control by others? |  |  |

|  |  |  |
| --- | --- | --- |
| **Do any of the following increase the Adult at Risk’s vulnerability in relation to the alleged abuse?** | | |
|  | Yes | No |
| Gender/Identity |  |  |
| Ethnicity/culture |  |  |
| Sexual orientation |  |  |
| Legal status |  |  |
| Religion |  |  |
| Where clarity regarding any of the above answers is needed provide details below: | | |
|  | | |

|  |  |  |
| --- | --- | --- |
| **Also consider the following questions:** | | |
| Is there any reason why the Adult at Risk may not be able to take actions to decrease their risk? | Yes | No |
| Is the Adult at risk subject to coercion/control by others? |  |  |
| Are there others at risk in this situation? (e.g. where Adult at Risk has others dependant upon them, living with them etc.)? |  |  |
| Where clarity regarding any of the above answers is needed provide details below: | | |
|  | | |

|  |
| --- |
| **Are there any protective factors? Give details (including how they protect the adult at risk).** |
|  |

**Section 4**

|  |
| --- |
| Guidance on which part of 4 to complete:  4A - To be completed where there is an individual alleged to have perpetrated the abuse, or a number of identifiable individuals.  4B - To be completed only in cases where perpetrators are identified as a group of people and the abuse is occurring within the context of this group. This may well be the situation in relation to cases of sexual exploitation/modern slavery or of organisational abuse.  There may be cases where it is useful to complete both parts A and B, this is left to the discretion of the person completing the form.  NB. In cases of self-neglect you do not need to complete this section. The risk posed by this should be addressed in answering Sections 2 and 3.  It is acknowledged that practitioners may not always have access to the information required within sections 4A and 4B. As such they would not be expected to provide such information when doing so would take them beyond the remit of their role, i.e. where alleged perpetrator is not known to the practitioner it is not intended that they should instigate contact.  However in order to undertake a full and comprehensive risk assessment such information should be sought from other sources and included where possible. Where this is not possible it should be recorded that the information is not available.  When the alleged perpetrator is known to the practitioner consideration should also be given as to whether it would be appropriate to work directly with them in relation to the assessment. Such consideration should take into account whether there would be a conflict of interests to work directly with both the Adult at Risk and alleged perpetrator, and how this may be perceived by the Adult at Risk. In these cases it may be appropriate for another practitioner to gather the information needed from the alleged perpetrator. Similarly, practitioners should also consider other agencies that may already hold the information or at least be better placed to obtain it. |

**Section 4 Part A: Alleged Perpetrator**

|  |
| --- |
| (To be completed where there is an individual alleged to have perpetrated the abuse, or a number of identifiable individuals.) |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Is the alleged perpetrator known to any services?** | | | | | |
| Yes |  | No |  | Not Known |  |
| If yes please provide details including contact details for any agencies/workers involved: | | | | | |
|  | | | | | |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Is the alleged perpetrator also a vulnerable adult?** | | | | | |
| Yes |  | No |  | Not Known |  |
| If yes how does this impact on the risk they pose? | | | | | |
|  | | | | | |

|  |  |  |  |
| --- | --- | --- | --- |
| **Do further referrals/assessments need to be made in relation to the alleged perpetrator as a vulnerable adult? (This should include consideration of whether an advocate is needed)** | | | |
| Yes |  | No |  |
| Provide details | | | |
|  | | | |

|  |  |  |  |
| --- | --- | --- | --- |
| **Have the allegations of abuse been shared with the alleged perpetrator?** | | | |
| Yes |  | No |  |
| If **yes** please provide details  If **no** please give reason why they have not been shared (i.e. being dealt with by other agency, or sharing would place victim at greater risk): | | | |
|  | | | |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Does the alleged perpetrator continue to have contact with the victim?** | | | | | |
| Yes |  | No |  | Not Known |  |
| If yes what is the nature of this contact? | | | | | |
| Primary Carer | |  | Daily contact | |  |
| Other Carer | |  | Weekly contact | |  |
| Lives with victim | |  | Other contact | |  |
| If no are there external restrictions in place (e.g. Domestic Violence Protection Notices or Court of Protection Notices). | | | | | |
|  | | | | | |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Does the alleged perpetrator acknowledge having committed the current abuse?** | | | | | |
| Yes |  | No |  | Not Known |  |
| If yes please outline the level of responsibility taken by the alleged perpetrator for their actions | | | | | |
|  | | | | | |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Does the alleged perpetrator demonstrate a willingness to change their behaviour/ circumstances in order to reduce the risk they pose?** | | | | | |
| Yes |  | No |  | Not Known |  |
| If **yes** please outline how have they demonstrated this, or what actions do they intend to take:  If **no** please provide details of why they do not wish to change their behaviour or circumstances. This section should also include any broader changes that may have occurred even if they do not directly relate to the risk but indirectly impact upon it: | | | | | |
|  | | | | | |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Has the alleged perpetrator expressed any attitudes/demonstrated any behaviour that suggests ongoing risk to the victim?** | | | | | |
| Yes |  | No |  | Not Known |  |
| If yes please give details | | | | | |
|  | | | | | |

|  |  |  |
| --- | --- | --- |
| **Tick any of the following aggravating risk factors that exist in relation to the alleged perpetrator:** | | |
|  | Yes | No |
| Dependant on Adult at Risk (financially, in relation to accommodation etc.) |  |  |
| Socially isolated |  |  |
| Lack of support |  |  |
| Caring responsibility imposed upon them |  |  |
| Significant stress |  |  |
| Limited mental capacity |  |  |
| Recent lifestyle change (e.g. unemployment or illness) |  |  |
| Substance Use |  |  |
| Mental health problems |  |  |
| Witness to/victim of violence or abuse in the past |  |  |
| Unequal power relationship with victim |  |  |
| Involved in broader network of exploitation and/or modern slavery |  |  |
| Other |  | |
| Give details | | |
|  | | |

|  |
| --- |
| **In what ways do these impact on the behaviour of the alleged perpetrator in relation to the alleged abuse?** |
|  |

**Complete the following information where available**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Does the alleged perpetrator have a known/alleged history of harmful behaviour? (other than that outlined in relation to current concerns)** | | | | | |
| Yes |  | | No |  | |
| If yes against whom has this harmful behaviour been directed: | | | | | |
| Partners | |  | Strangers | |  |
| Adult Children | |  | Staff | |  |
| Children under 18 | |  | Those they were employed to care for | |  |
| Parents | |  | Animals | |  |
| Other family members | |  | Self | |  |
| Acquaintances | |  | Others | |  |
| Give details, including dates, nature of alleged/known abuse, victim details and context (include details of any criminal convictions that resulted): | | | | | |
|  | | | | | |

|  |  |  |  |
| --- | --- | --- | --- |
| **Is this behaviour similar to the nature of the current abuse/concerns?** | | | |
| Yes |  | No |  |
| If **yes** describe how it relates  If **no** describe in what way it differs | | | |
|  | | | |

|  |
| --- |
| **What is the most harmful alleged/known behaviour demonstrated by the alleged perpetrator in any context (consider sections 1 and 4)?** |
|  |

|  |  |  |
| --- | --- | --- |
| **Does the alleged perpetrator’s behaviour (consider sections 1 and 4) include any of the following high risk behaviours?** | | |
|  | Yes | No |
| Weapons |  |  |
| Sexual assault |  |  |
| Strangulation/choking |  |  |
| Stalking |  |  |

|  |
| --- |
| **Is the abuse taking place in a specific location? As a result, are other people at risk? If so, is there any action that needs to be taken to disrupt the abuse and safeguard others?**  **(For example, if abuse is taking place at a hotel, a licensed premises, or a park)** |
|  |

**Section 4 Part B**

|  |
| --- |
| (To be completed only in cases where perpetrators are identified as a group of people and the abuse is occurring within the context of this group rather than individuals targeting the Adult at Risk) |

|  |
| --- |
| **In what context do the alleged perpetrators have contact with the Adult at Risk?** |
|  |

|  |
| --- |
| **Do the alleged perpetrators appear to target the Adult at Risk?** |
|  |

|  |
| --- |
| **In cases where targeting is occurring, why does it appear this targeting is taking place? (make links where appropriate to vulnerability factors identified re. the Adult at Risk including any concerns relating to exploitation and/or modern slavery)** |
|  |

|  |
| --- |
| **Identify in what circumstances the alleged perpetrators will have continued access to the Adult at Risk:** |
|  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Have any of the alleged perpetrators been approached in relation to these allegations/concerns?** | | | | | |
| Yes |  | No |  | Not Known |  |
| If yes please outline the nature of this and any known responses from the group: | | | | | |
|  | | | | | |

|  |  |  |
| --- | --- | --- |
| **Tick any of the following aggravating risk factors that exist in relation to the group:** | | |
|  | Yes | No |
| Is the group the primary social network/contact for the Adult at Risk |  |  |
| Limited mental capacity |  |  |
| Substance misuse |  |  |
| Mental health problems |  |  |
| Unequal power relationship with victim |  |  |
| Part of a network involved in exploitation and/or modern slavery |  |  |
| Other |  |  |
| Give details | | |
|  | | |

|  |
| --- |
| **In what ways do any of the above impact in relation to the risk posed to the Adult at Risk in the current context?** |
|  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Does the group of alleged perpetrators have any known/alleged history of harmful behaviour?** | | | | | |
| Yes |  | | No |  | |
| If yes against whom has this harmful behaviour been directed: | | | | | |
| Partners | |  | Strangers | |  |
| Adult children | |  | Staff | |  |
| Children under 18 | |  | Those they were employed to care for | |  |
| Parents | |  | Animals | |  |
| Other family members | |  | Self | |  |
| Acquaintances | |  | Others | |  |
| Give details, including dates, nature of alleged/known abuse, victim details and context (include details of any criminal convictions that resulted): | | | | | |
|  | | | | | |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Is this behaviour similar to the nature of the current abuse/concerns?** | | | | | |
| Yes |  | No |  | Not Known |  |
| If no describe in what way it differs | | | | | |
|  | | | | | |

|  |
| --- |
| **What is the most known/alleged harmful behaviour demonstrated by the group of alleged perpetrators in any context (consider sections 1 and 4)?** |
|  |

|  |  |  |
| --- | --- | --- |
| **Does the alleged perpetrator’s behaviour (consider sections 1 and 4) include any of the following high risk behaviours?** | | |
|  | Yes | No |
| Weapons |  |  |
| Sexual assault |  |  |
| Strangulation/choking |  |  |
| Stalking |  |  |

**Section 5: Potential Severity of harm**



|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Based on the above flowchart what is the potential severity of harm?** | | | | | |
| **Low** |  | **Medium** |  | **High** |  |

**Section 6: Likelihood of future harm**

**Does this appear to be a one off incident?**

**Yes**

**Yes**

**Have sufficient measures/changes been taken to address the risk (including if no measures were needed)**

**No**

**Low likelihood of future harm**

**No**

**There is/are evidence/concerns re. an emerging or established pattern of abuse**

**Medium likelihood of future harm**

**Behaviour and/or context remain the same**

**Have sufficient measures/changes been taken to address the behaviour/context in which pattern of abuse is occurring**

**and/or**

**Is there evidence of a reduction in the frequency of incidents?**

**Yes**

**No**

**Either no significant changes have been made or some outstanding risk factors re. behaviour or context remain**

**and/or**

**there have been recent increases in frequency**

**High likelihood of future harm**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Based on the above flow chart what is the current likelihood of future harm:** | | | | | |
| **Low** |  | **Medium** |  | **High** |  |
| What changes/measures have been taken to address the behaviour/context involved in the abuse: | | | | | |
|  | | | | | |
| What outstanding risk factors remain that need to be addressed: | | | | | |
|  | | | | | |